

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

AMERICAN GUARANTEE AND
LIABILITY INSURANCE COMPANY,

Plaintiff,

-v-

ALAN P. ROSEFIELD,

Defendant.

Case No.

FILED
DEC 14 2007

USDC WP SDNY

ECF CASE
Rule 7.1 Statement

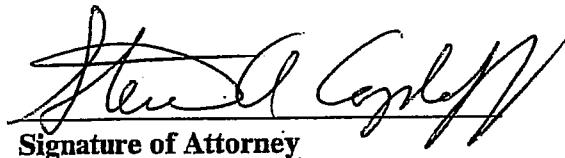
JUDGE LYNCH
'07 CIV 11273

Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for plaintiff American Guarantee and Liability Insurance Company (a private non-governmental party)

certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

SEE ATTACHED

Date: December 14, 2007


Signature of Attorney

Attorney Bar Code: SC 0506

BALANCE SHEET

ADMITTED ASSETS (\$000)

	12/31/04	12/31/03	'04%	'03%
Bonds	9,080	13,704	58.3	94.2
Cash & short-term invest.	518	208	3.3	1.4
Other non-affil inv asset	1	1	0.0	0.0
Total invested assets	9,599	13,913	61.6	95.6
Premium balances	161	161	1.0	1.1
Accrued interest	145	208	0.9	1.4
All other assets	5,671	272	36.4	1.9
Total assets	15,576	14,555	100.0	100.0

LIABILITIES & SURPLUS (\$000)

	12/31/04	12/31/03	'04%	'03%
Loss & LAE reserves	2,179	510	14.0	3.5
Unearned premiums	179	284	1.2	2.0
All other liabilities	1,913	658	12.3	4.5
Total liabilities	4,272	1,452	27.4	10.0
Capital & assigned surplus	4,000	4,000	25.7	27.5
Unassigned surplus	7,304	9,102	46.9	62.5
Total policyholders' surplus	11,304	13,102	72.6	90.0
Total liabilities & surplus	15,576	14,555	100.0	100.0

SUMMARY OF 2004 OPERATIONS (\$000)

	12/31/04	Funds Provided from Operations	12/31/04
Premiums earned	3,830	Premiums collected	4,377
Losses incurred	6,011	Benefit & loss related pmts	7,990
LAE incurred	564	Net transfers to accounts	...
Undrw expenses incurred	1,339	LAE & undrw expenses paid	1,893
Net underwriting income	-4,084	Undrw cash flow	-5,506
Net investment income	695	Investment income	759
Other income/expense	0	Other income/expense	0
Pre-tax oper income	-3,388	Pre-tax cash operations	-4,746
Realized capital gains	323	Income taxes pd (recov)	690
Income taxes incurred	-1,256	Net oper cash flow	-5,436
Net income	-1,809		

Zurich Financial Services Group
AMERICAN GUARANTEE AND LIABILITY INSURANCE
COMPANYNew York, NY
1400 American Lane, Schaumburg, IL 60196-1056.Tel: 847-605-6000
AMB#: 02562
FEIN#: 36-6071400Fax: 847-605-6011
NAIC#: 26247

BEST'S RATING

Based on our opinion of the consolidated Financial Strength of the property/casualty members of Zurich Financial Services Group, which operate under a group structure, each group member is assigned a Best's Rating of A (Excellent). The company is assigned the Financial Size Category of Class XV, which is the Financial Size Category of the group. Refer to the Preface for a complete explanation of Best's Rating system and procedure.

RATING RATIONALE

For a detailed discussion of the rating rationale, refer to the report of Zurich Financial Services Group.

Best's Rating: A g

Outlook: Stable

FIVE YEAR RATING HISTORY

Rating as of July 22, 2005: A g

	Best's Date	Rating	Best's Date	Rating
	09/14/04	A g	09/06/02	A gu
	06/20/04	A g	03/22/02	A+ g
	09/03/03	A g	09/27/01	A+ gu
	05/30/03	A g	11/17/00	A+ g
	12/18/02	A g		

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KEY FINANCIAL INDICATORS (\$000)

Period Ending	Statutory Data					Total Admitted Assets	Policyholders' Surplus
	Direct Premiums Written	Net Premiums Written	Pretax Operating Income	Net Income	NPW to PHS		
2000	447,902	...	7,802	7,881	146,532	146,399	150,655
2001	724,331	...	8,262	7,25	151,153	151,153	150,662
2002	1,008,748	...	7,913	11,751	88,403	93,987	93,729
2003	1,231,899	...	3,785	4,984	123,770	128,140	128,140
2004	1,312,783	...	4,306	4,570	123,770	128,140	128,140

Period Ending	Profitability					Leverage		Liquidity
	Comb. Ratio	Inv. Yield (%)	Pretax ROR (%)	Inv. Lev.	NPW to PHS Lev.	Net Lev.	Overall Liqu. (%)	
2000	...	5.3	4.5	...	0.0	0.0	999.9	732.8
2001	...	5.5	3.5	...	0.0	0.0	999.9	...
2002	...	6.9	6.9	...	0.0	0.0	999.9	...
2003	...	4.3	6.7	...	0.0	0.0	999.9	...
2004	...	3.9	5.3	...	0.7	249.6	152.9	5-Yr

(*) Data reflected within all tables of this report has been compiled from the company-filed statutory statement. Within several financial tables of this report, this company is compared against the Commercial Casualty Composite.

BUSINESS REVIEW

For a detailed discussion of business review, refer to the report of Zurich U.S.

Affiliations: American Insurance Association; Insurance Services Office, National Council on Compensation Insurance and Surety Association of America.

2004 BUSINESS PRODUCTION AND PROFITABILITY (\$000)

Product Line	Premiums Written			% of Total NPW	Pure Loss Ratio	Loss & LAE Res.
	Direct	Net	NPW			
Fire	115,842
Com'l MultiPeril	34,301
Workers' Comp	104,708
Oth Liab Cl-Made	149,212
Comm'l Auto Liab	53,020
Prod Liab Occur	140,402
Oth Liab Occur	533,020
Allied Lines	121,187
All Other	61,091
Totals	1,312,783

Major 2004 Direct Premium Writings by State (\$000): California, \$157,760 (12.0%); Texas, \$137,499 (10.5%); New York, \$95,046 (7.2%); New Jersey, \$83,406 (6.4%); Connecticut, \$75,548 (5.8%); Florida, \$74,949 (5.7%); 45 other jurisdictions, \$685,407 (52.2%); Aggregate Alien, \$3,167 (0.2%).

CAPITALIZATION

For a detailed discussion of capitalization, refer to the report of Zurich U.S.

CAPITAL GENERATION ANALYSIS (\$000)

Period Ending	Source of Surplus Growth					PHS Growth (%)
	Pretax Operating Income	Total Inv. Gains	Net Contrib. Capital	Net of Tax	PHS	
2000	7,802	55	...	24	7,881	5.7
2001	8,262	-3,958	...	-49	4,256	2.9
2002	7,913	4,835	-74,998	-743	-62,993	-41.8
2003	3,785	1,717	...	565	6,067	6.9
2004	4,306	1,065	30,000	-961	34,411	36.7
5-Yr	32,069	3,713	-44,998	-1,163	-10,379	...

HISTORY

This company was organized under the laws of New York as an affiliate of the United States Branch of the Zurich Insurance Company. It commenced business on September 6, 1939.

The Zurich Fire Insurance Company of New York, a former member of the Zurich Group, was merged into the company at the close of business on December 31, 1952.

Administrative offices were moved in early 1980 from Chicago to Schaumburg, Illinois.

Capital stock of \$5,000,027 consists of 6,636 common shares at \$753.47 par value each. All authorized shares are outstanding.

The company is a member of the Zurich U.S. pool.

To view a company's complete BEST'S COMPANY REPORT, refer to BEST'S INSURANCE REPORTS on CD-ROM, or go online at www.ambest.com/blr

ZURICH FINANCIAL SERVICES GRP

Centre Insurance Company

Centre Solutions U.S. Group

ZC Specialty Insurance Company

Zurich U.S.

American Guidance & Life Ins

American Zurich Insurance Co

Assurance Company of America

Colonial American Cas & Surety

Empire Fire & Marine Ins Co

Empire Indemnity Ins Co

Fidelity and Deposit Co of MD

Maine Bonding & Casualty Co

Maryland Casualty Company

Maryland Insurance Company

National Standard Ins Co

Northern Insurance Co of NY

Steadfast Insurance Company

Universal Underwriters Ins Co

Universal Underwriters of TX

Valliant Insurance Company

Zurich American Insurance Co

Zurich American Ins Co of IL